| Electronic Ack                       | nowledgement Receipt  |
|--------------------------------------|---|
| EFS ID:                              | 4965958   |
| Application Number:                  | 10573196  |
| International Application Number:    |   |
| Confirmation Number:                 | 2276  |
| Title of Invention:                  | Benzazepine Derivatives and Methods of Prophylaxis or Treatment of 5Ht2C Receptor Associated Diseases |
| First Named Inventor/Applicant Name: | Brian Smith   |
| Customer Number:                     | 26204   |
| Filer:                               | Susanne H. Goodson/Betty Nefos  |
| Filer Authorized By:                 | Susanne H. Goodson  |
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| Submitted with Payment                   | yes             |
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| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$180           |
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| Deposit Account                          | 061050          |
| Authorized User                          |                 |

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| 1                         | Information Disclosure Statement Letter | ids 20750-0047 us 1.pdf                     | 420804                                       | no                  | 7                  |
| 147                       |   |   | 6742896652ds2c26611621665655eb5d391<br>a7319 |                     |                    |
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| illiorillation.           | 4                                       |   | 293489                                       |                     | _                  |
| 2                         | Foreign Reference                       | 18GB1196229.pdf                             | 293489                                       | no                  | 5                  |
|                           |   | Zac416z1dc1ce2ec41/124f21ff/8ff/6690<br>191 |  |                     |                    |
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| 3                         | Foreign Reference                       | 19CH500194.pdf                              | 4f14f2588-18f0583c9a-57cd86304316db5<br>869- | no                  | 16                 |
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| 4                         | 4 Foreign Reference                     | 20DE1914456.pdf                             | 5-170-174-0-1-104-11-50-0-17-0-17-           | no                  | 10                 |
|                           |   |   | Sa4786174al5c6104611a50a0e22c304c75e<br>04d5 |                     |                    |
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| 5                         | Foreign Reference                       | 21GB1247306.pdf                             | 640626                                       | no                  | 11                 |
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| 6                         | Foreign Reference                       | 22AU515236.pdf                              | a607c3d34cc5db180b96300f3c4e0a61dfc0<br>7332 | no                  | 41                 |
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| 7                         | Foreign Reference                       | 23GB2133401.pdf                             | e4b4fddf3d4b574f209b0cbc11f2b2f02cb9         | no                  | 12                 |
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| 8                         | Foreign Reference                       | 24DE3418270.pdf                             | 1803521                                      | no                  | 59                 |
|                           |   |   | 1aRub41a1dH2ffiS0c4bd9saaf1c5b65149<br>d996  |                     |                    |
| Warnings:                 | · · · · · · · · · · · · · · · · · · ·   |   |  |                     |                    |

| 9            | Foreign Reference  | 25SU1238732.pdf            | 335787                                       | no  | 8  |
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| 10           | Foreign Reference  | 26EP0204349.pdf            | 3038621                                      | no  | 96 |
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| 11           | Foreign Reference  | 27EP331130.pdf             | 771862                                       | no  | 19 |
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| 12           | Foreign Reference  | 28EP0331130B1.pdf          | 1323666                                      | no  | 34 |
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| 13           | Foreign Reference  | 29WO1993003015.pdf         | 1576275                                      | no  | 38 |
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| 14           | Foreign Reference  | 30EP028591A1.pdf           | 1784035                                      | no  | 45 |
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| 15           | Foreign Reference  | 31EP0285919B1.pdf          | 4456876                                      | no  | 84 |
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| 16           | Foreign Reference  | 32WO2003062205.pdf 1961196 | 1961196                                      | no  | 40 |
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| 17           | Foreign Reference  | 33WO2007120517.pdf         | 2003223                                      | no  | 41 |
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| 18                        | NPL Documents | 34Baindur.pdf      | 707987<br>ascd5465015692054H197892963c575ec8<br>9a1d      | no | 6  |
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| 19                        | NPL Documents | 35 Bickerdike.pdf  | 1564669<br>29bf4251ab3993a61e6dacrface65531fec156<br>1691 | no | 13 |
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| 23                        | NPL Documents | 39Chumpradit.pdf   | 564056  | no | 5  |
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| 24                        | NPL Documents | 40Clark.pdf        | 1019258   | no | 9  |
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| 34           | NPL Documents | 50Guillory.pdf   | 2497018  | no | 24  |
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| 36           | NPL Documents | 52Halford.pdf  | 457540<br>d1716dd9290b1c7009css0732eb49d5cc58<br>d91e3 | no | 7  |
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| 46           | NPL Documents    | 62 Martin.pdf  | 339699<br>d10453be499x83403c54d51f1993386c3c1<br>557b     | no  | 12       |
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| 30           | N E Documents    | ooneaneye.par  | 2d90b87e1329f722c61c46cb3deb24s6dc<br>99939               | 110 |          |
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| 51           | NPL Documents    | 67Okuno.pdf    | 564093  | no  | 7        |
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| 61           | Frankladada (NTO CC)                  | 61-646                      | 30721   | no   | _  |
| 61           | Fee Worksheet (PTO-06)                | fee-info.pdf                | 986629c621bd4166cbecdf0dt/76dd4f4569<br>bf940 | no   | 2  |
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-[d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.